



PTO/SB/21 (09-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

71

Application Number

10/727,835

Filing Date

December 4, 2003

First Named Inventor

Craig Andrews

Art Unit

1742

Examiner Name

Attorney Docket Number

LYNN/0162

**ENCLOSURES (Check all that apply)**☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Reply to Missing Parts/  
Incomplete Application☐Reply to Missing Parts  
under 37 CFR 1.52 or 1.53☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a  
Provisional Application☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

Remarks

☐

After Allowance Communication to TC

☐Appeal Communication to Board  
of Appeals and Interferences☐Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please identify  
below):

1. Return Receipt postcard,
2. USPTO Form 1449
3. copies of six cited references

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

STREETS &amp; STEELE

Signature

Printed name

Jeffrey L. Streets

Date

October 19, 2006

Reg. No.

37,453

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Date

October 19, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:  
Craig Andrews

Serial No.: 10/727,835

Filed: December 4, 2003

For: Self-Aligning Components For  
Electrochemical Cells

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Group Art Unit: 1742

Confirmation No: 8895

Examiner: Unknown

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

CERTIFICATE OF MAILING OR TRANSMISSION

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INFORMATION DISCLOSURE STATEMENT

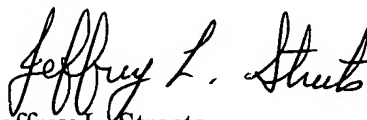
Pursuant to the duty of candor and good faith set forth in 37 C.F.R. § 1.56, the Applicant hereby discloses on behalf of individuals associated with the filing and prosecution of the present patent application information that might be material to patentability. This disclosure is presented via the enclosed Form PTO-1449.

This disclosure is not intended to constitute an admission that any information is "prior art" with respect to the presently claimed invention.


Copies of the patents and publications cited among the disclosed information are enclosed herewith, with the exception of U.S. Patents & U.S. Patent Applications the requirement for copies of which has been waived by the Office Notice of July 11, 2003 (*if the present patent application was filed after June 30, 2003*).

In the event a fee is required in connection with the enclosed Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 50-0714/LYNN-0162 for the necessary amount.

Respectfully submitted,

A handwritten signature in cursive script, reading "Jeffrey L. Streets".

Jeffrey L. Streets  
Registration No. 37,453  
STREETS & STEELE  
13831 Northwest Freeway, Suite 355  
Houston, Texas 77040  
(713) 939-9444  
Attorney for Applicant

U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
		LYNN/0162	10/727,835
LIST OF RELEVANT ART CITED BY APPLICANT		Applicant	
(Use several sheets if necessary)		Craig Andrews	
		Filing Date	Group
		December 4, 2003	1742

## U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	A	5,688,615	Nov. 18, 1997	Mrotek, et al.			

## Foreign Patent Documents

Translation								
		Document Number	Date	Country	Class	Subclass	Yes	No
	B	WO 98/33221	July 30, 1998	PCT Int'l Application				
	C	JP 11045727	Feb. 16, 1999	Japan				
	D	EP 1 231 298 A1	Aug. 14, 2002	Europe				
	E	EP 0 495 512 A1	Jan. 17, 1992	Europe				
	F	GB 2 348 047 A	Sept. 20, 2000	UK				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	G	PCT International Search Report; PCT//US 03/38551; International filing date 4/12/2003; July 25, 2006.

Examiner	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.